



YOUR MISSION.  
OUR HONOR

# OSHKOSH DEFENSE SUPPLIER SYMPOSIUM 2023

04/04/2023



# ADVANCED PRODUCT QUALITY PLANNING (APQP)

Josh Hardel

Principal Engineer Advanced Supplier Quality



# LEARNING OUTLINE

- What is APQP?
- APQP Deliverables during Product Development
- APQP Supplier Expectations from Oshkosh
- Summary



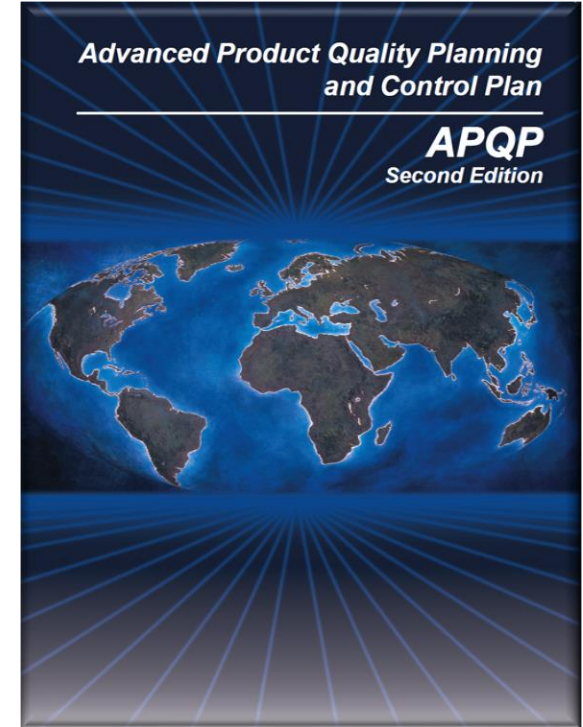
# WHAT IS APQP?

Created by Automotive Industry Action Group (AIAG)

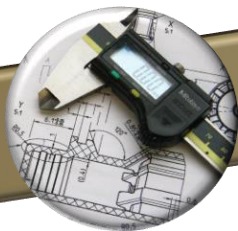
Structured method of defining and establishing the steps necessary to assure that a product meets customer specification and expectation

Identifies Critical to Quality sub-systems from the Voice of Customer

Details the implementation of appropriate quality tools at various phases in the product development cycle



# WHY DO WE DO APQP?



**Fosters robust product design**



**Establishes clear lines of communication between customers and suppliers to define product/ project specifications**



**Drives Lean Manufacturing processes to produce part within cost and on-time**

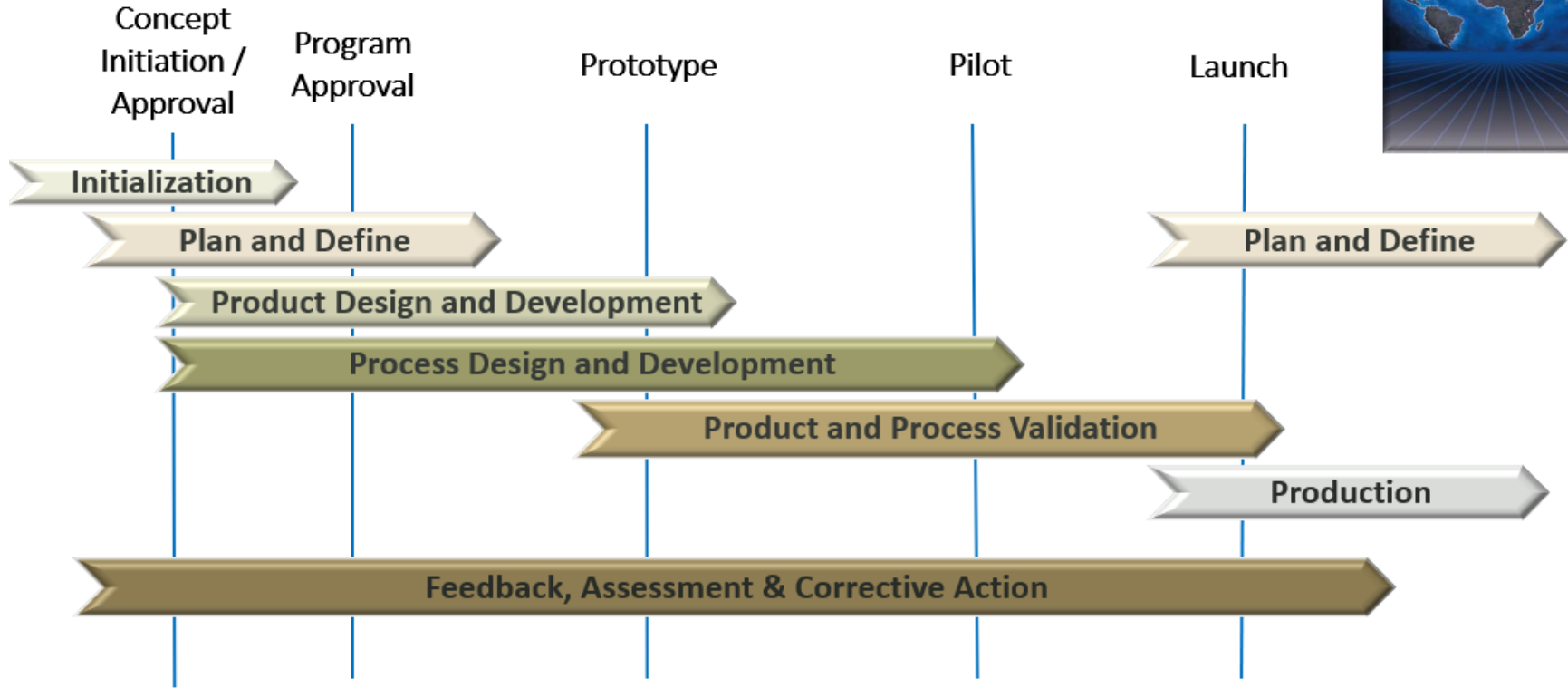
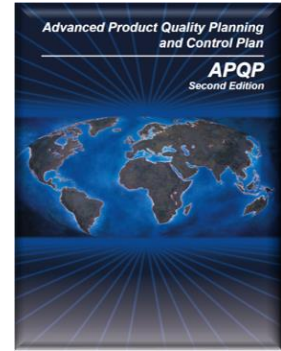


**Design Validation Plan to ensure customer satisfaction using product testing**

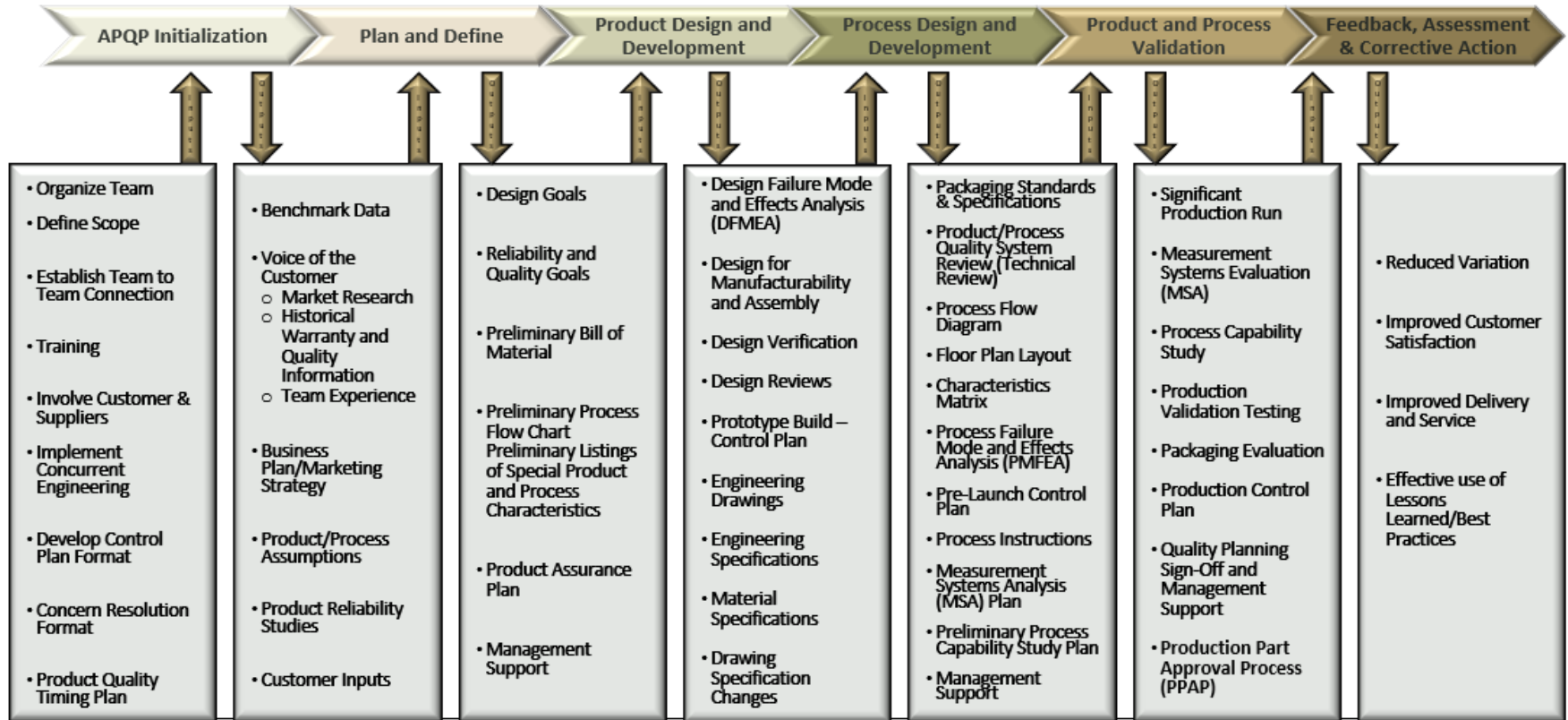


**Ensures supplier preparedness before start of production**

# PRODUCT QUALITY PLANNING ELEMENT TIMING CHART



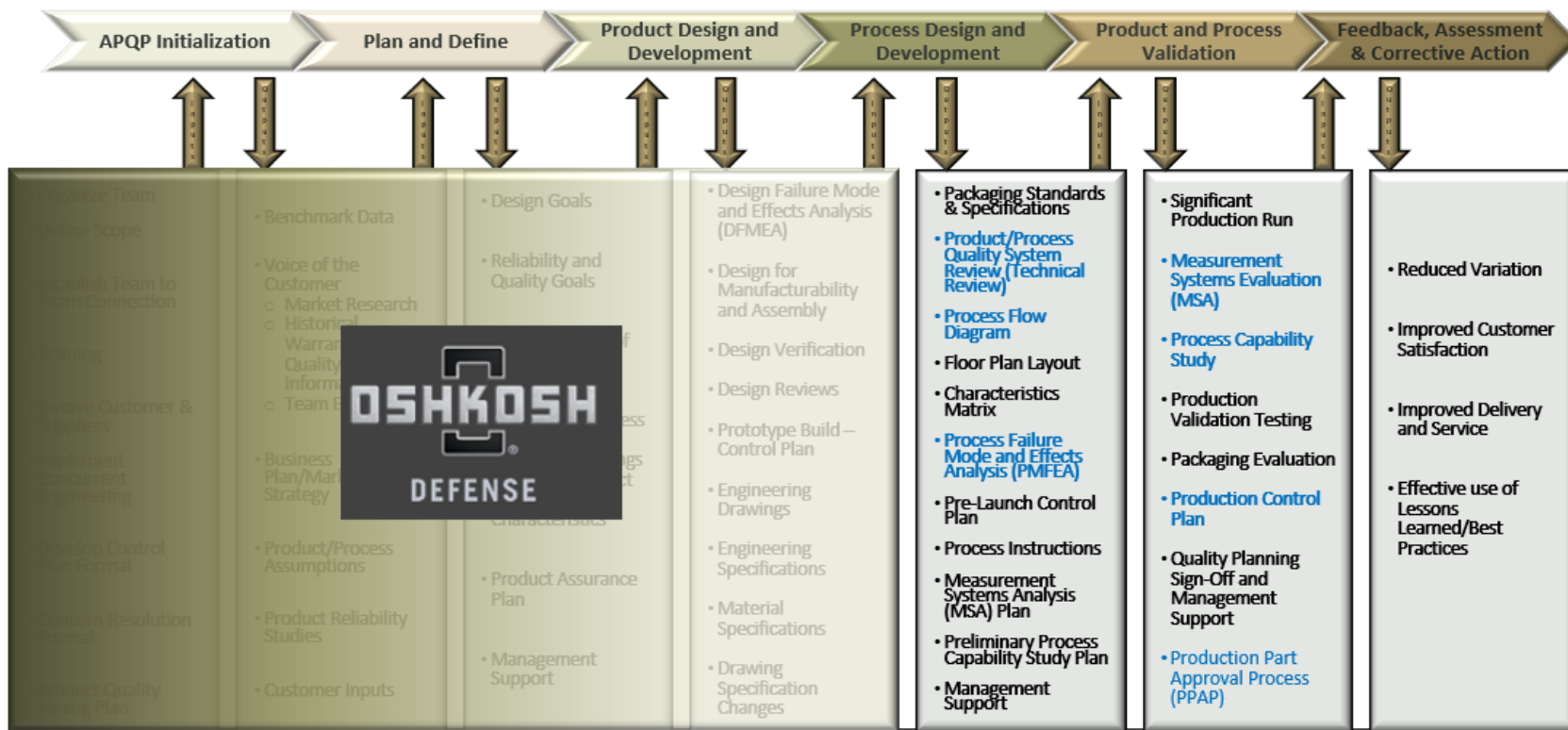
# PRODUCT QUALITY PLANNING AS DEFINED BY APQP



# APQP – CONTRACT PROVIDER

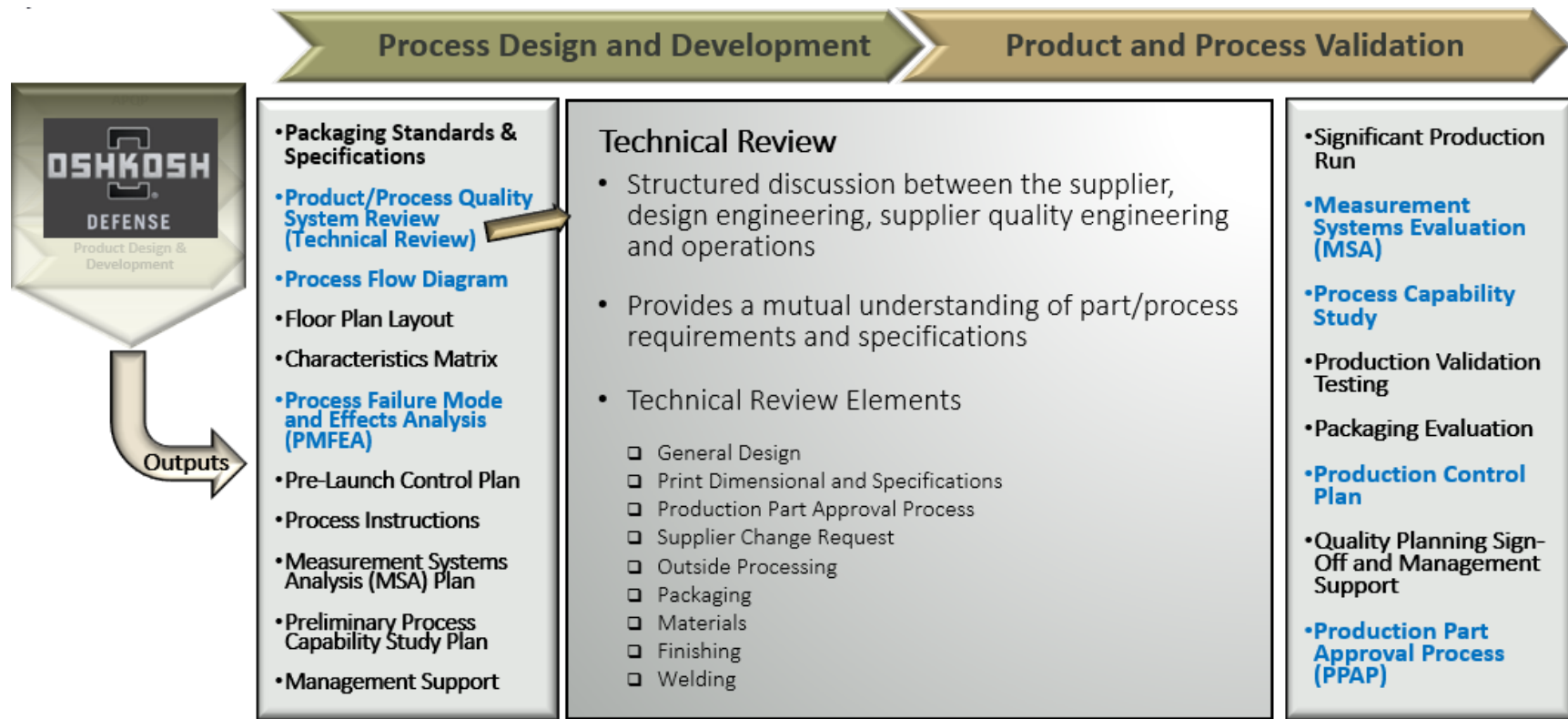
 Deliverable

 Action





# APQP – DELIVERABLES



# APQP – DELIVERABLES

## Process Design and Development

## Product and Process Validation



- Packaging Standards & Specifications
- Product/Process Quality System Review (Technical Review)
- Process Flow Diagram
- Floor Plan Layout
- Characteristics Matrix
- Process Failure Mode and Effects Analysis (PFMEA)
- Pre-Launch Control Plan
- Process Instructions
- Measurement Systems Analysis (MSA) Plan
- Preliminary Process Capability Study Plan
- Management Support

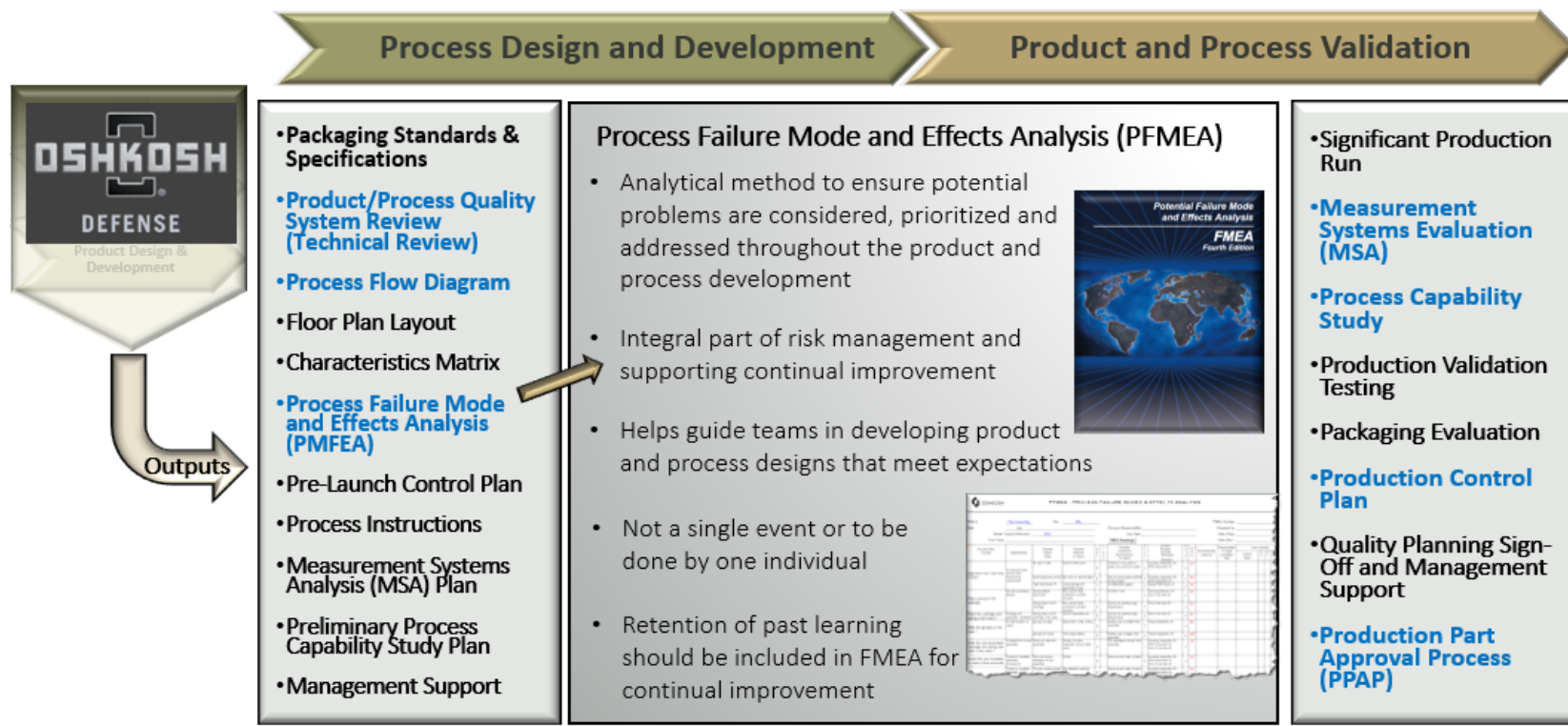
### Process Flow Diagram

- Visual tool that maps the processing steps for a product
- Diagram provides the scope for Process Failure Mode and Effects Analysis (PFMEA)
- Identifies where potential issues might arise

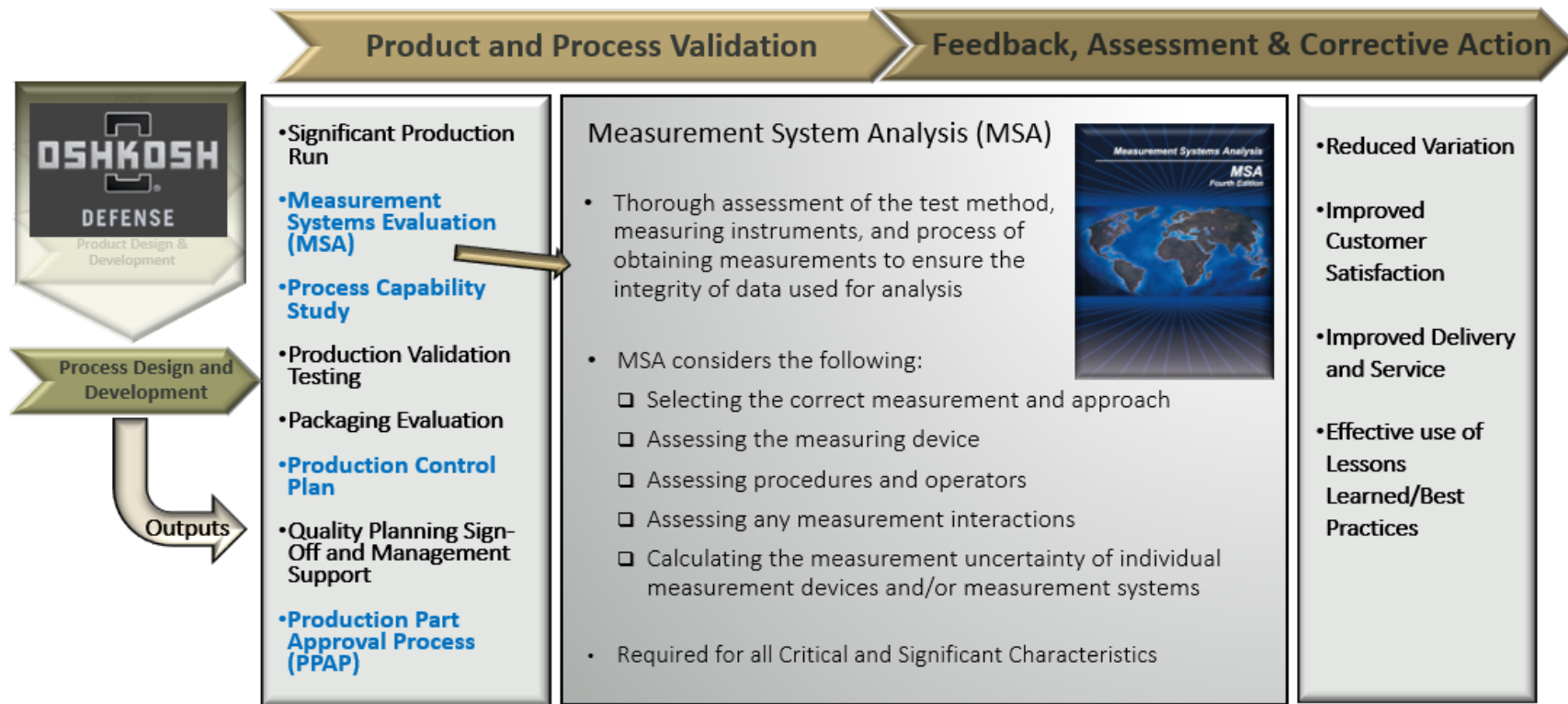


- Significant Production Run
- Measurement Systems Evaluation (MSA)
- Process Capability Study
- Production Validation Testing
- Packaging Evaluation
- Production Control Plan
- Quality Planning Sign-Off and Management Support
- Production Part Approval Process (PPAP)

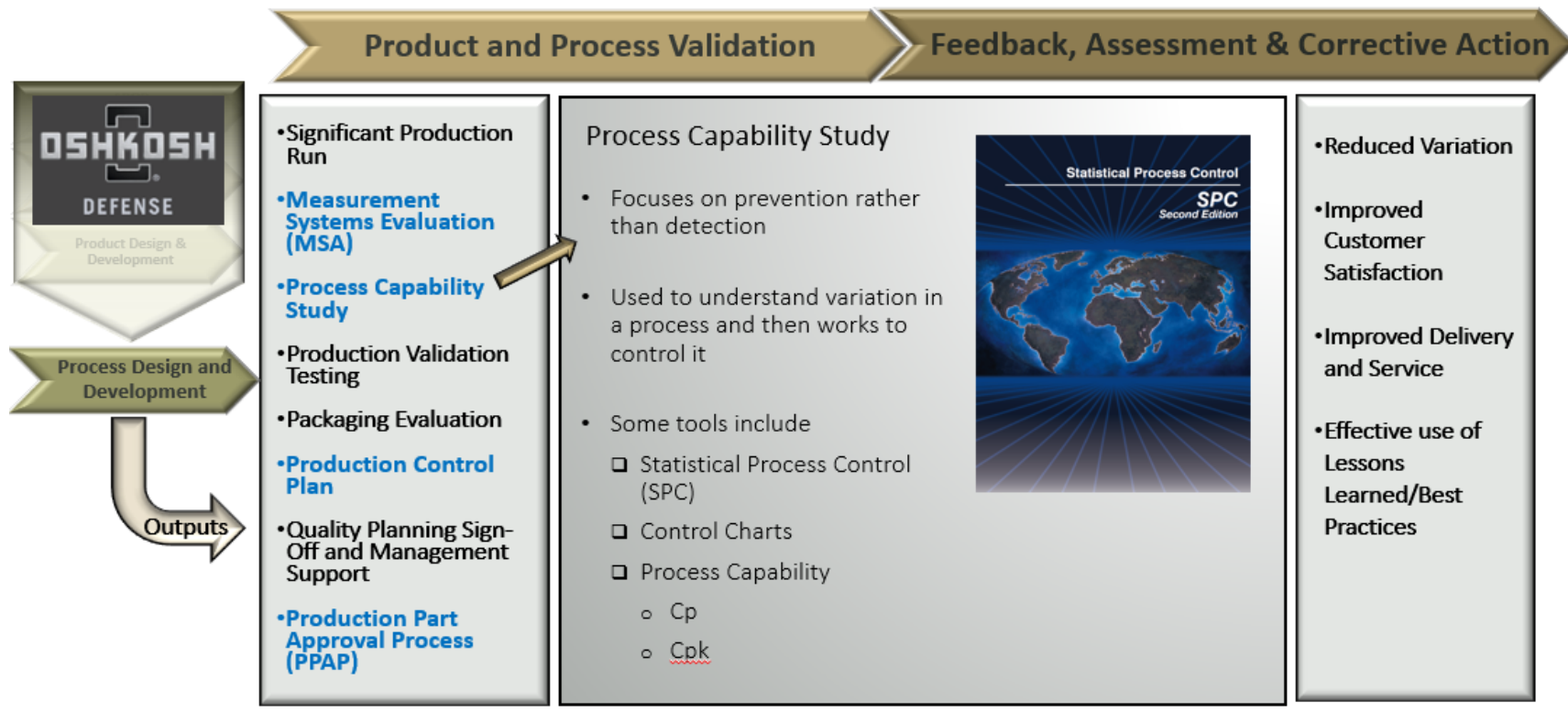
# APQP – DELIVERABLES



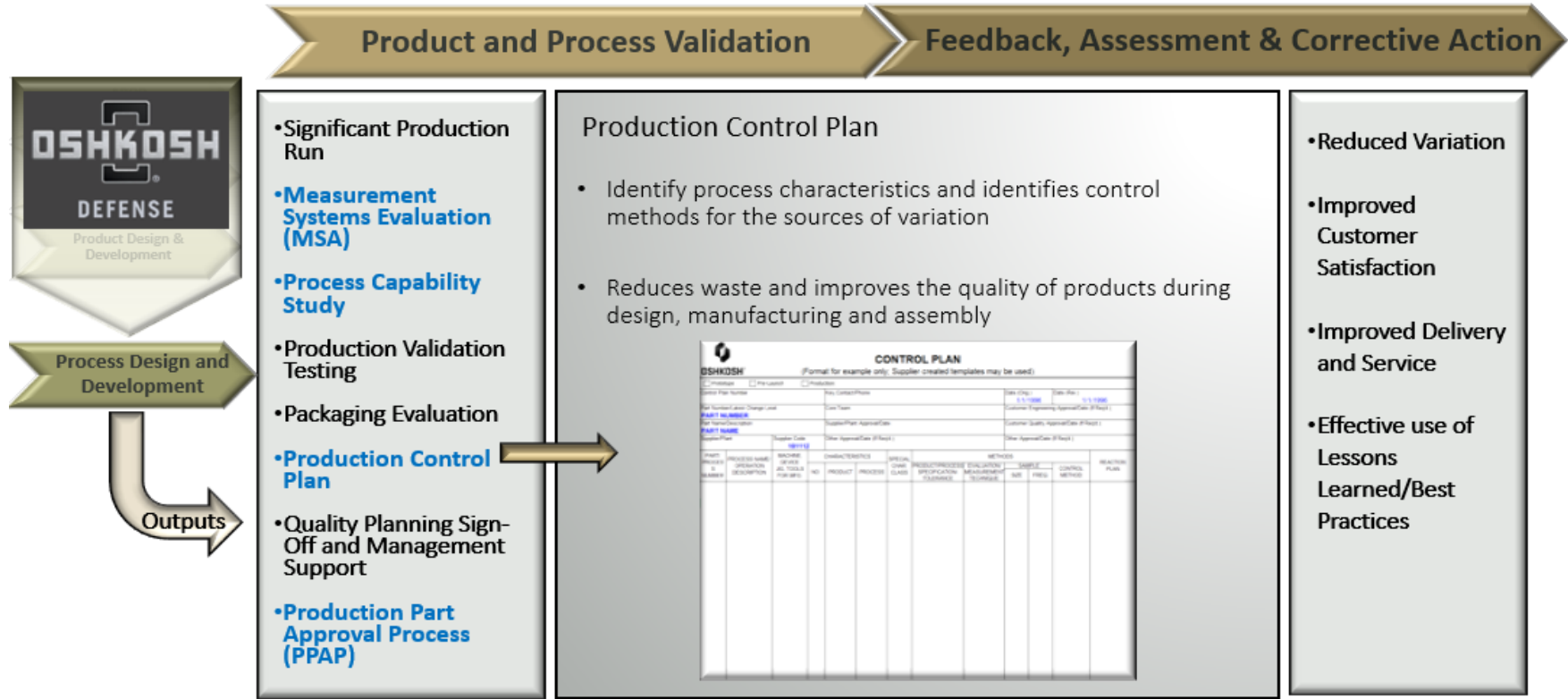
# APQP – DELIVERABLES



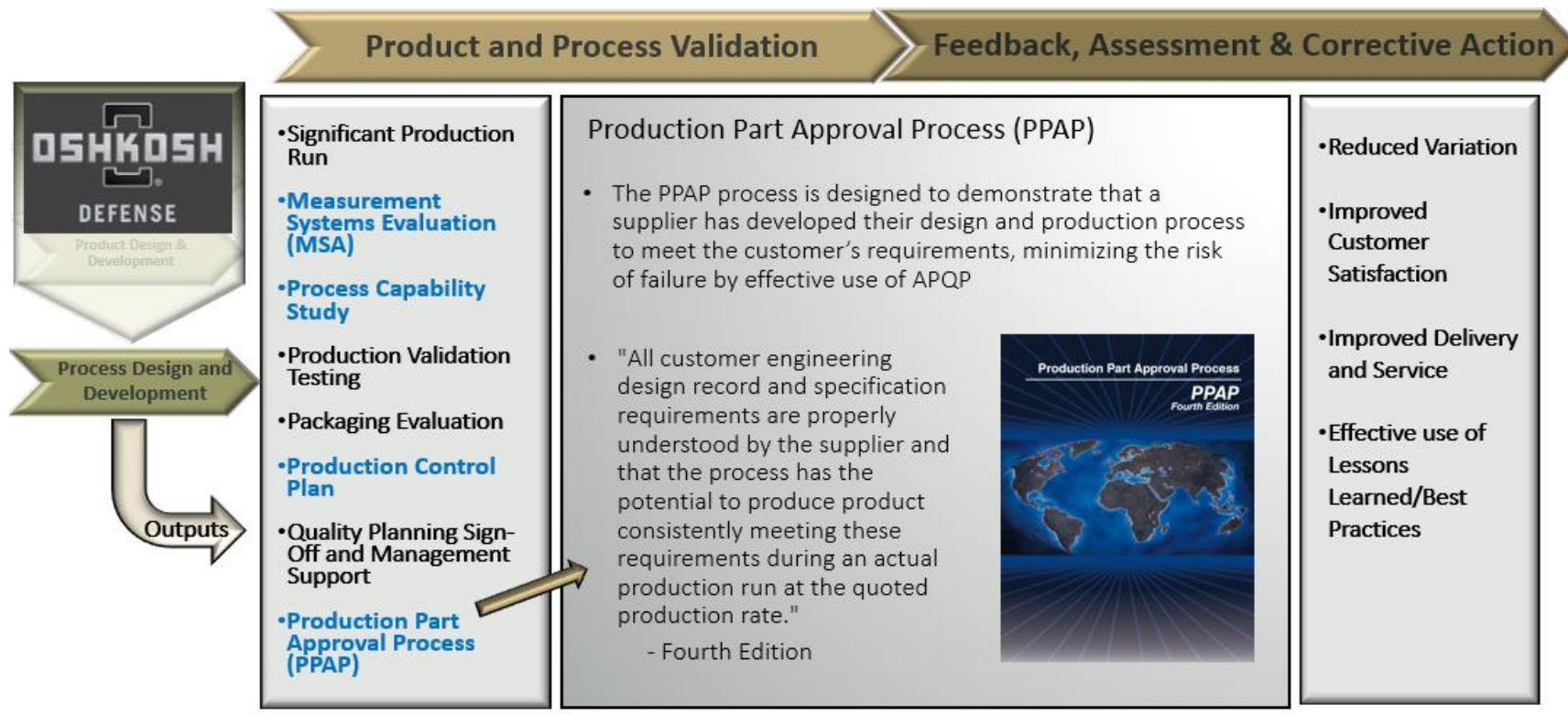
# APQP – DELIVERABLES




# APQP – DELIVERABLES



# APQP – DELIVERABLES



# APQP – DELIVERABLES

		<b>Oshkosh Corporation</b> <b>PPAP Part Submission Requirements</b>			
Part Number:	PART NUMBER	Purchase Order No.			
Revision Level:	ERL DATE	Part Description:	PART NAME		
Supplier Name:	SUPPLIER NAME	Reason for Request:			
Supplier Number:	101112	OSK Program:	MODEL / VEHICLE		
Date Issued:		Submission Due Date:			
<small>ALL PPAP CRITERIA MUST CONFORM TO Oshkosh Corporation Customer Specifics defined in the Global Supplier Quality Manual</small>					
<b>UNLESS OTHERWISE SPECIFIED IN WRITING BY OSHKOSH CORPORATION:</b>					
<b>Default PPAP Submission Level 2 - Unless Otherwise Specified by Oshkosh Corporation</b> (Segment Specific Requirements may vary) S = Supplier Must Send Items to Oshkosh Corporation for Approval * = Applicable material info required (material certification, Certificate of Compliance, or catalog page) with PSW N/R= Documents are not required for development or submission					
PPAP Submission Requirements and Detail Description		Submission Level			
		1	2	3	4
1.) Part Submission Warrant (PSW)		S	S	S	S
2.) Dimensional Results		N/R	S	S	S
3.) Design Records (Bubble Print)		N/R	S	S	S
4.) PPAP Samples - <i>first production order / upon request prior to production order</i>		N/R	S	S	S
5.) Print Notes: (Attach copy of Raw Material Certification / Performance Test Report / Surface Finish, Paint Process, Welding Documentation such as WPS/PQRs/Welder Certs)		*	S	S	*
6.) Supplier Change Request (OSK-F1000) - <i>if applicable</i>		S	S	S	S
7.) Design Failure Modes effects Analysis (DFMEA) - <i>if supplier is design responsible</i>		N/R	N/R	S	N/R
8.) Process Flow Diagram (PFD)		N/R	N/R	S	N/R
9.) Process Failure Modes Effects Analysis (PFMEA)		N/R	N/R	S	N/R
10.) Initial Process Capability - <i>for major / critical characteristics - if applicable</i>		N/R	N/R	S	N/R
11.) Measurement System Analysis (MSA) - <i>for major / critical characteristics - if applicable</i>		N/R	N/R	S	N/R
12.) Process Control Plan		N/R	N/R	S	N/R
13.) Appearance Approval Report (AAR) - <i>if applicable</i>		N/R	N/R	S	N/R
14.) Checking Aids (Fixture, gage, template, etc) - <i>if applicable</i>		N/R	N/R	S	N/R
15.) Records of Compliance with Customer Specific Requirements - <i>if applicable</i>		N/R	N/R	S	N/R
16.) Photo Documentation (Master Sample of PPAP parts & Section J-Labeling)		S	S	S	N/R
17.) Tooling Photo Documentation - <i>if applicable</i>		N/R	S	S	N/R
18.) QC-112 PPAP Check List		N/R	N/R	S	N/R
Additional Submission Instructions below:					





# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

Oshkosh Global Supplier Quality Audit - APQP Section					Supplier:	Audit Date:		
<b>Element 1 - Pre-quote Feasibility Review</b>	ISO 9001:2015 Clause 8.2.3.1				<b>Supplier Comments</b>	<b>Auditor Comments</b>		
	List Document Numbers, Names and Revisions							
	<b>Objective Evidence</b>	Review a completed feasibility review. Record the PART NUMBER (or other identifying number).						
	<b>Review</b>	(CR) A) Is there evidence that pre-quote feasibility reviews are conducted?	B) Is there a signoff / approval for the feasibility review?	C) Is there a documented checklist or equivalent?			(CR) D) Is there evidence of a review of customer requirements?	E) Is there evidence of a review of quality requirements?
		F) Is there evidence of a review for manufacturability?	G) Is there evidence of a cross functional drawing review process?	H) Is there evidence of a supplier source review?				
	<b>Interview</b>	I) Is there a cross functional review meeting?	J) Is the outcome of reviews communicated back to customer?	(CR) K) Do the feasibility reviews include a manufacturing capacity review?				
		<b>MAX POINTS:</b>	<b>11</b>	<b>POINTS SCORED:</b>			<b>0</b>	<b>FAILED CRITICAL:</b>

# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

## Oshkosh Global Supplier Quality Audit - APQP Section

ISO 9001:2015 Clause 8.6 & IATF 16949:2015 Clauses 8.6.1 & 8.6.4				Supplier Comments		Auditor Comments			
List Document Numbers, Names and Revisions									
<b>Element 2 - APQP/Product Realization</b>	<b>Objective Evidence</b>	Review a completed project (for the Audit Sample Part Number, if possible). Record the PART NUMBER (or other identifying number).							
	<b>Interview</b>	(CR) A) Does the supplier have a product realization/APQP process to launch new parts?	(CR) B) Does the supplier use the process for all major projects?  I	C) Does the supplier use the process for all new parts?  II	D) Does the supplier have regular meetings to manage the project schedule?	E) Does the supplier track action items from the project meeting?			
		F) Does the process include creation of packaging plans?							
	<b>Review</b>	G) Is the APQP/Product Realization process documented?	H) Does the product realization/APQP documented process contain all critical steps for the supplier?	I) Does the process have a checklist or equivalent that includes multiple phases?	J) Is there evidence that the product realization/APQP process is being followed correctly?	K) Is there evidence of project planning (i.e. Gantt charts, electronic checklist, etc)?			
<b>MAX POINTS:</b>		<b>11</b>	<b>POINTS SCORED:</b>	<b>0</b>	<b>FAILED CRITICAL:</b>	<b>2</b>			

# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

Oshkosh Global Supplier Quality Audit - APQP Section							Supplier Comments	Auditor Comments
Element 3 - Customer Engineering Specifications	IATF 16949:2015 Clause 8.5.6.1							
	List Document Numbers, Names and Revisions							
	<b>Objective Evidence</b>	Review a part number that has recently changed. Record the PART NUMBER and REVISION.						
	<b>Review</b>	(CR) A) Is there a documented process to review customer document changes? (i.e., drawing changes, etc.)	(CR) B) Is a document (Change Notice, etc.) used to track and implement the changes?	C) Does the process include verification of changes (PPAP, etc.)?	(CR) D) Did you see evidence that the process is being followed?			
	<b>Interview</b>	E) Is there a cross-functional review process for changes?	G) Does the supplier have a process to purge obsolete	G) Does the supplier have a process to acknowledge changes?				
<b>MAX POINTS:</b>	<b>7</b>	<b>POINTS SCORED:</b>	<b>0</b>	<b>FAILED CRITICAL:</b>	<b>3</b>			

# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

Oshkosh Global Supplier Quality Audit - APQP Section						Supplier Comments	Auditor Comments
Element 5 - Process Failure Modes and Effects Analysis (PFMEA)	IATF 16949:2015 Clause 8.3.2.1						
	<b>Objective Evidence</b>	Review a Process Failure Modes and Analysis (PFMEA) for the Audit Sample Key Process. Record the PART NUMBER (or other identifying number) and REVISION DATE.					
	<b>Review</b>	(CR) A) Does the supplier have Process Failure Mode and Effects Analysis (PFMEA)?	(CR) B) Do the PFMEA process steps match the PFD steps?	C) Is there evidence that actions are taken to reduce high RPN on PFMEAs?	D) Are there overdue items in the action plan for PFMEA?  (No=1, Yes=0)	E) Is there evidence that the PFMEAs are updated when changes to the process occur?	
		F) Is there evidence that PFMEAs are updated based on nonconformance data and/or Corrective Actions?	G) Did the PFMEA include any Significant or Critical Characteristics (SC/CC)?	H) Is there evidence that PFMEAs are regularly reviewed and updated?			
	<b>Interview</b>	I) Does the supplier create a PFMEA for all key product families and/or key processes? (i.e., not only at customer request)	J) Is the PFMEA reviewed for high RPN?				
<b>MAX POINTS:</b>	<b>10</b>	<b>POINTS SCORED:</b>	<b>0</b>	<b>FAILED CRITICAL:</b>	<b>2</b>		

# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

Oshkosh Global Supplier Quality Audit - APQP Section						Supplier Comments	Auditor Comments
<b>Element 6 - Control Plans</b>	IATF 16949:2015 Clause 8.5.1.1						
	<b>Objective Evidence</b>	<b>Review a Control Plan for the Audit Sample Key Process. Record the PART NUMBER (or other identifying number) and REVISION DATE.</b>					
	<b>Review</b>	(CR) A) Does the supplier have Control Plans?	(CR) B) Does the Control Plan match the process controls in the PFMEA?	(CR) C) Does the Control Plan match the production documents you viewed on the production floor (i.e., traveler, inspection plan, etc.)?	D) Does the Control Plan provide specific inspection requirements (feature, method, frequency)?	E) Is there evidence that the Control Plans are updated when changes to the process occur?	
		F) Did the Control Plan include any Significant or Critical Characteristics (SC/CC)?					
		G) Does the supplier create general Control Plans for all key product families and/or key processes? (i.e., not only at customer request)					
	<b>Interview</b>	I)	H) Does the supplier create Control Plans for all high volume part numbers?  II)	(CR) I) Does the supplier use SPC, process capability studies, or 100% inspection for all Significant and Critical Characteristics?			
<b>MAX POINTS:</b>	<b>9</b>	<b>POINTS SCORED:</b>	<b>0</b>	<b>FAILED CRITICAL:</b>	<b>4</b>		

# EXPECTATIONS OF OSHKOSH SUPPLIERS REGARDING APQP

Oshkosh Global Supplier Quality Audit - APQP Section						Supplier Comments	Auditor Comments
Element 7 - Production Part Approval Process (PPAP)	IATF 16949:2015 Clause 8.3.4.4						
	<b>Objective Evidence</b>	Review a submitted PPAP. Record the PART NUMBER and SUBMISSION DATE.					
	<b>Interview</b>	A) Does the supplier have experience with PPAP?	B) Does the supplier have experience with Oshkosh PPAP (for any segment)?				
	<b>Review</b>	C) Is there evidence of a customer approved L2 PPAP?	D) Is there evidence of a customer approved L3 PPAP?				
I		II					
<b>MAX POINTS:</b>	<b>4</b>	<b>POINTS SCORED:</b>	<b>0</b>	<b>FAILED CRITICAL:</b>	<b>0</b>		



YOUR MISSION. OUR HONOR

10 MINUTE BREAK





# PROCESS FLOW DIAGRAM

### PROCESS/INSPECTION FLOWCHART

**OSHKOSH** (Format for example only; Supplier created templates may be used)

---

Product Program           JLTV

Issue Date           ECL

Supplier Name           Supplier #1

Part Name           Example

Supplier Location           #1 Way

Part Number           2123465756

---

**Legend:**

Operation   
  Transportation   
  Inspection   
  Delay   
  Storage

Step	Operation or Event <input type="radio"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	Description of Operation or Event	Evaluation and Analysis Methods
01	<input type="checkbox"/>	Receive Part	Receive into ERP Scan Material Cert
02	<input type="checkbox"/>	Incoming Inspection	Material Certification: Spectrometer Thickness: Caliper Length & Width: Tape Measure
03	<input type="radio"/>	Identification & Traceability Marking	Part Marker
04	<input type="checkbox"/>	Move to Stock	
05	<input type="checkbox"/>	Store according FIFO Procedure	FIFO Procedure
06	<input type="checkbox"/>	Move to Laser	
07	<input type="radio"/>	Laser Cut Part	
08	<input type="checkbox"/>	Inspect Part	Functional Gage 0351
09	<input type="radio"/>	Stack parts in approved WIP container	WIP Container 23434
10	<input type="checkbox"/>	Move Parts to milling machine	
11 & 12	<input type="radio"/>	Face part to achieve machine finish Drill Holes according to print Tap Holes According to print Return to approved WIP Container	<b>Inspect according to control plan</b> Surface Finish 32 micro: Profometer Drilled Holes: Calibrated Pins Tapped Holes: Thread Gage
13	<input type="checkbox"/>	Move to Finishing Area	

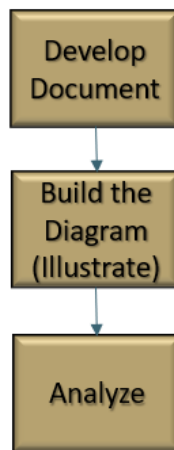


# WHAT IS A PROCESS FLOW DIAGRAM

*“The process flow chart is a schematic representation of the current or proposed process flow. It can be used to analyze sources of variation of machines, materials, methods, and manpower from the beginning to end of a manufacturing or assembly process. It is used to emphasize the impact of sources of variation on the process.”*

- Per AIAG – APQP Manual (Second Edition)

- Clearly describes production process steps and sequence in order to meet customer requirements
  - Documents the process, activities, connections and flows
  - Through the eyes of the product
  - Visual Map
- Illustrates a product’s transformation
  - Beginning to end



# BUILD PROCESS FLOW DIAGRAM



- Identify ALL process steps (Non-Value and Value added)

- Attain full understanding of all the steps in the process

- Use symbol most closely related to the action

- Operation
- ⇨ Transportation
- Inspection
- ▷ Delay
- ▽ Storage

- Describe
- Standard Operating Procedures (SOP)
- Procedures and Work Instructions
- Specifications


- Measurements
- Inspections
- Descriptions of Product

**Develop Document**

**Build the Diagram (Illustrate)**

**Analyze**




# BUILD PROCESS FLOW DIAGRAM






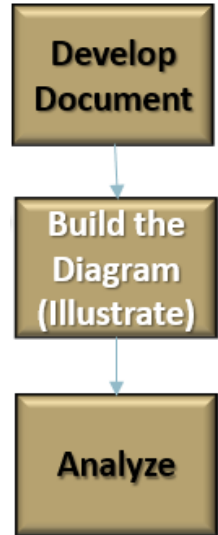
## PROCESS/INSPECTION FLOWCHART

(Format for example only; Supplier created templates may be used)

Product Program           **CUSTOMER ORDER**           Issue Date            ECL           **YESTERDAY**            
 Supplier Name           **ON TIME R US**           Part Name           **WIDGET, ORANGE**            
 Supplier Location           **GLOBAL**           Part Number           **12345678**          

**Legend:**  
 Operation     Transportation     Inspection     Delay     Storage

Step	Operation or Event <input type="radio"/>  <input type="checkbox"/>  <input type="checkbox"/> 	Description of Operation or Event	Evaluation and Analysis Methods
○		Submitted PO	Customer Order Received
○		Schedule WO	In ERP, Printable WO
○		Manufacture Product	In-Process Checks, 1st Piece
□		Inspect Product	Final Inspection, SPC
◻		Move Product to Inventory	



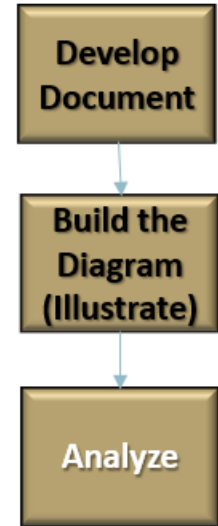
# ANALYZE PROCESS FLOW DIAGRAM

When do you update a Process Flow Diagram?

- New Customer Requirements are agreed upon
- New Technology that changes a process
- Customer Issues where corrective actions change the process
- Design Changes
- Any changes where final product to customer is impacted

The Process Flow Diagram directs future tool usage & analysis:

- Failure Mode and Effects Analysis (FMEA)
- Data Collection & Control Charting (SPC)
- Control plans



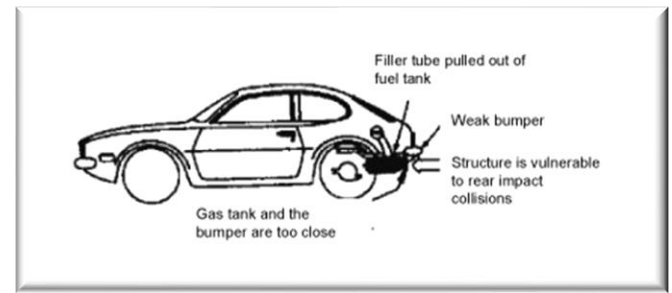
**Rule #1 Update Process Flow Diagrams with new knowledge & change in process**



# WHAT IS FAILURE MODE AND EFFECTS ANALYSIS (FMEA)

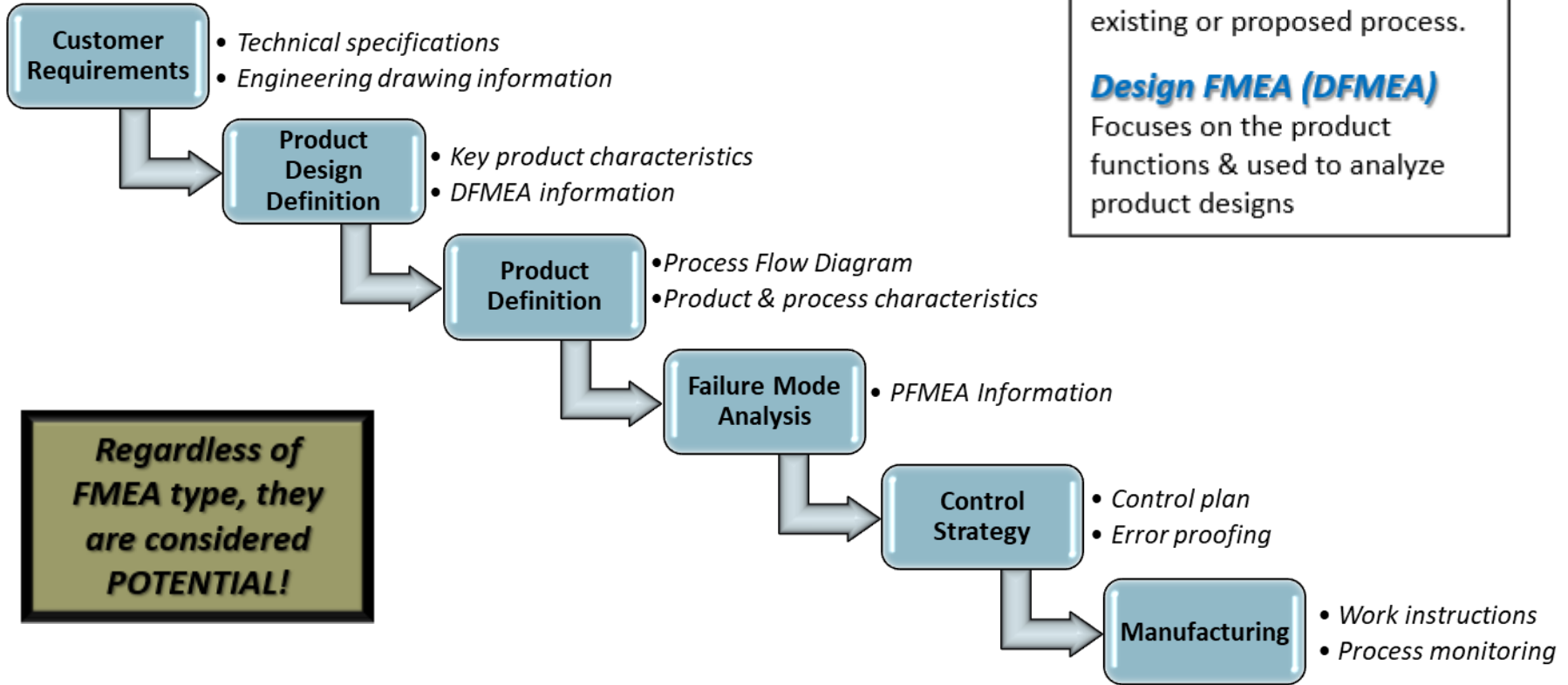
- An analytical tool using a disciplined technique
- Used to identify where problems in products / processes are likely to occur
- Identifies potential solutions to minimize risks of problems
- Can focus on potential process related failures and their causes (PFMEA)
- Targeted at eliminating the Root Cause
- Allows prioritization of corrective actions

*Initially developed for the military, now used in a variety of industries*



Automotive: Ford (Pinto mid-1970's)

# INFORMATION FLOW



## WHEN IS FMEA USED & WHAT ARE THE BENEFITS

When **NEW** systems, products and processes are being designed

When **EXISTING** designs or processes are being changed or improved

Aids in improving design for products and processes

- Identify potential failure modes and rate the severity of their effects
- Increases customer satisfaction through improved quality
- Improves product reliability

Contributes to product cost savings

- Reduces warranty costs
  - Decreases waste
  - Reduces no-value added operations
- 





## WHEN IS THE FMEA UPDATED

When a change is being considered to a product or process related to:

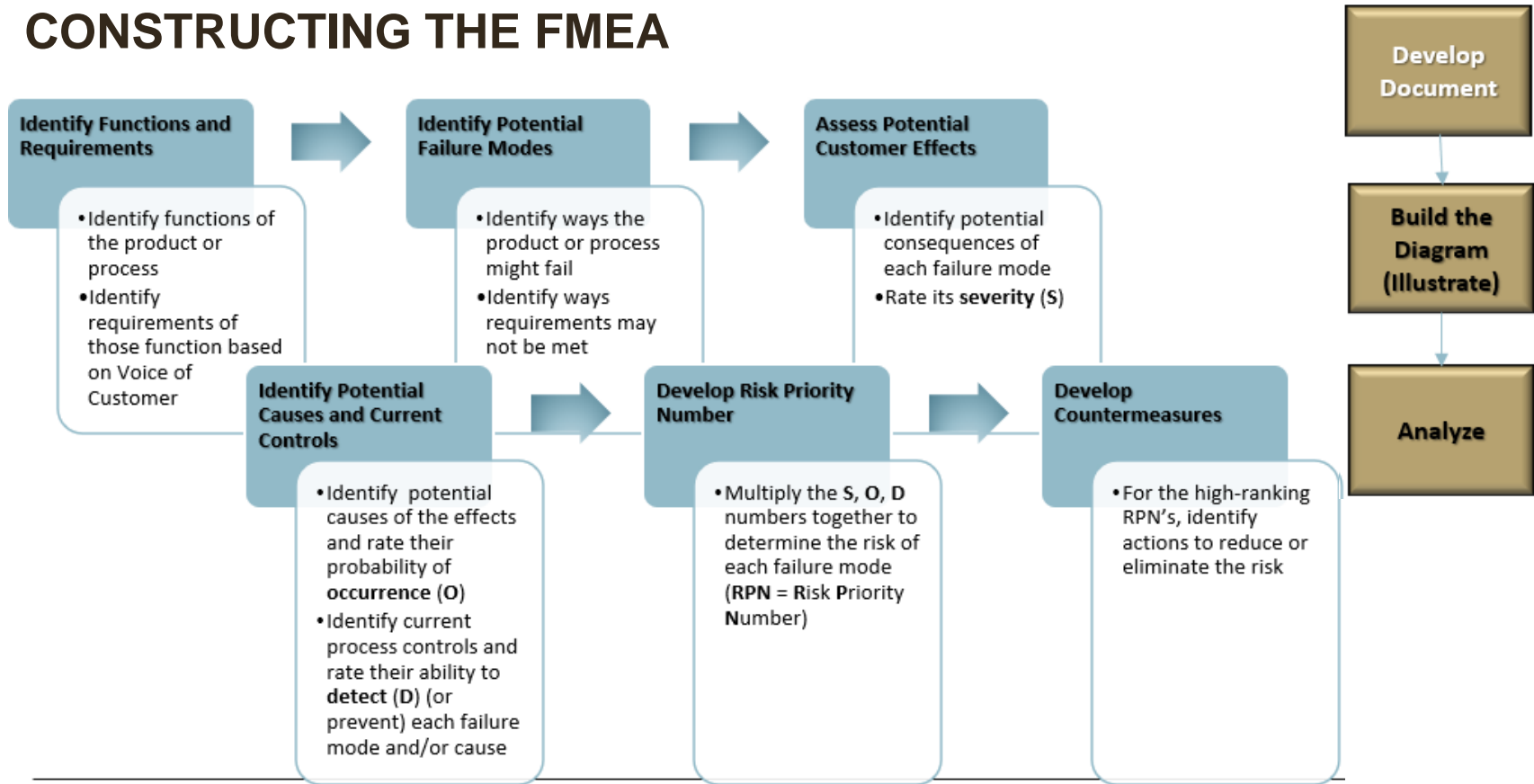
- Design
- Application
- Material
- Environment
- Manufacturing / Assembly Processes

Actions are taken to:


- Reduce the occurrence of the causes/failure modes
- Increase the ability to prevent a failure mode from occurring

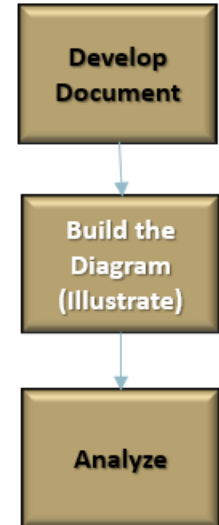
**PFMEAs can be updated as needed and applied to similar processes**

# CONSTRUCTING THE FMEA



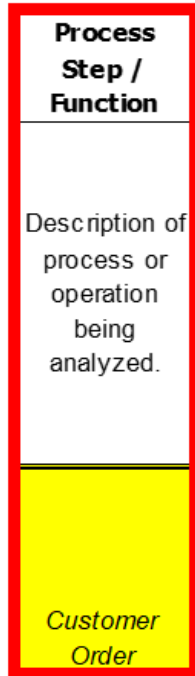
# BUILD PFMEA – BLANK TEMPLATE EXAMPLE

 <b>PFMEA - PROCESS FAILURE MODES &amp; EFFECTS ANALYSIS</b> (Format for example only; Supplier created templates may be used)																		
Print # <u>  PART NUMBER  </u>		Rev: <u>  ERL  </u>		FMEA Number: _____														
Item: <u>  n/a  </u>		Process Responsibility: _____				Prepared by: _____												
Model Year(s)/Vehicle(s): <u>  MODEL / VEHICLE  </u>		Key Date: _____				Date (Orig.) _____												
Core Team: _____		<b>FMEA Rankings</b>				Date (Rev) _____												
Process Step / Function	Requirements	Potential Failure Mode	Potential Effect(s) of Failure	S E V	C l a s s	Potential Causes(s)/ Mechanism(s) of Failure	O c c u r	Current Process Controls -Prevention -Detection	D e t e c t i o n	R e s p o n s i b l e	Recommended Action(s)	Responsibility & Target Completion Date	Action Results					
													Actions Taken	S e v	O c c u r	D e t e c t	R e s p o n s i b l e	



# 1. IDENTIFY PROCESS STEP / FUNCTION

- Derived from Process Steps in the Process Flow Diagram



## 2. IDENTIFY REQUIREMENTS

- The requirements are defined by the customer
- Defines how the item or function is intended to perform

<b>Process Step / Function</b>	<b>Requirements</b>
Description of process or operation being analyzed.	Requirements defined by the customer. How the item or function is intended to perform.
<i>Customer Order</i>	<i>Product gets to customer on time and safely</i>

***“Customer Order”  
Process***

### 3. IDENTIFY POTENTIAL FAILURE MODES

- Ways in which the process step could fail to perform its intended function
- Consider how the process could potentially fail to meet process requirements
- A failure mode describes the non-conformance (defect) at that specific operation or process step

Fatigue	Wear
Fracture	Excessive Material
Leakage	Improper Temp
Fails to Open	Calls not Answered

Process Step / Function	Requirements	Potential Failure Mode
Description of process or operation being analyzed.	Requirements defined by the customer. How the item or function is intended to perform.	Manner in which the process could potentially fail to meet process requirements.
<i>Customer Order</i>	<i>Products gets to customer on time and safely</i>	<i>Late Delivery</i>

***“Customer Order”  
Process***

***“What could happen to cause the process to fail to meet customer requirements?”***

## 4. ASSESS POTENTIAL CUSTOMER EFFECTS

If the FAILURE MODE is not detected and either corrected or removed, it will cause an EFFECT to occur

- The **EFFECT** of a failure mode is the outcome on the **customer** when a failure mode occurs

The customer can be:

- External** (end-user) – the effects should be stated in terms of product (or system) performance.
- Internal** (next step in the process) – the effects should be stated in terms of process performance.

Requirements	Potential Failure Mode	Potential Effects of Failure
Requirements defined by the customer. How the item or function is intended to perform.	Manner in which the process could potentially fail to meet process requirements.	Effects of the failure mode on the customer.
<i>Products gets to customer on time and safely</i>	<i>Late Delivery</i>	<i>Critical Short to Customer Production</i>

**"Customer Order"  
Process**

## 5. IDENTIFY SEVERITY OF EFFECTS

**SEV (S)** Rates the impact of the *EFFECT* of the potential failure mode on the customer

- Internal
- External

Severity Rating Scale		
Rating	Description	Definition (Severity of Effect)
10	Dangerously high	Failure could injure the customer or an employee.
9	Extremely high	Failure would create noncompliance with federal regulations.
8	Very high	Failure renders the unit inoperable or unfit for use.
7	High	Failure causes a high degree of customer dissatisfaction.
6	Moderate	Failure results in a subsystem or partial malfunction of the product.
5	Low	Failure creates enough of a performance loss to cause the customer to complain.
4	Very Low	Failure can be overcome with modifications to the customer's process or product, but there is minor performance loss.
3	Minor	Failure would create a minor nuisance to the customer, but the customer can overcome it without performance loss.
2	Very Minor	Failure may not be readily apparent to the customer, but would have minor effects on the customer's process or product.
1	None	Failure would not be noticeable to the customer and would not affect the customer's process or product.

Potential Failure Mode	Potential Effects of Failure	SEV
Manner in which the process could potentially fail to meet process requirements.	Effects of the failure mode on the customer.	Severity of the effect (S)
Late Delivery	Critical Short to Customer Production	8

**"Customer Order" Process**

*Severity is the likely impact of the failure*



## 6. IDENTIFY POTENTIAL CAUSES OF FAILURE

The cause of the failure mode is how the failure mode could occur; there may be several causes

Experimentation may be required to determine the ROOT causes

- Use problem solving techniques to determine the root causes
- 5 Why, Is/Is Not, Fishbone

This will lead the team toward preventive actions

Must be specific

- Avoid ambiguity such as “operator error”

Potential Effects of Failure	<b>S E V</b>	Potential Causes of Failure
Effects of the failure mode on the customer.	Severity of the effect (S)	How the failure could occur.
<i>Critical Short to Customer Production</i>	8	<i>Quality Issues</i>

**“Customer Order”  
Process**



# 7. IDENTIFY OCCURRENCE FOR POTENTIAL CAUSES OF FAILURE

OCC (O) is the probability that the failure mode (or the cause of the failure) will happen

Occurrence Rating Scale		
Rating	Description	Potential Failure Rate
10	Very High: Failure is almost inevitable.	More than one occurrence per day or a probability of more than three occurrences in 10 events ( $C_{pk} < 0.33$ ).
9	High: Failures occur almost as often as not.	One occurrence every three to four days or a probability of three occurrences in 10 events ( $C_{pk} \approx 0.33$ ).
8	High: Repeated failures.	One occurrence per week or a probability of 5 occurrences in 100 events ( $C_{pk} \approx 0.67$ ).
7	High: Failures occur often.	One occurrence every month or one occurrence in 100 events ( $C_{pk} = 0.83$ ).
6	Moderately High: Frequent failures.	One occurrence every three months or three occurrences in 1,000 events ( $C_{pk} = 1.00$ ).
5	Moderate: Occasional failures.	One occurrence every six months to one year or five occurrences in 10,000 events ( $C_{pk} = 1.17$ ).
4	Moderately Low: Infrequent failures.	One occurrence per year or six occurrences in 100,000 events ( $C_{pk} = 1.33$ ).
3	Low: Relatively few failures.	One occurrence every one to three years or six occurrences in ten million events ( $C_{pk} = 1.67$ ).
2	Low: Failures are few and far between.	One occurrence every three to five years or 2 occurrences in one billion events ( $C_{pk} = 2.00$ ).
1	Remote: Failure is unlikely.	One occurrence in greater than five years or less than two occurrences in one billion events ( $C_{pk} > 2.00$ ).

Note: Copy the SEV rating to the rows beneath so that each cause will be associated with the same SEV rating.

Potential Effects of Failure	SEV	Potential Causes of Failure	OCC
Effects of the failure mode on the customer.	Severity of the effect (S)	How the failure could occur.	Frequency of failure occurrence (O)
<i>Critical Short to Customer Production</i>	8	<i>Quality Issues</i>	4
	8	<i>Missing Customer Supplied part</i>	2
	8	<i>Order not entered for production</i>	1
	8	<i>Sub-components didn't arrive on time</i>	4

**"Customer Order" Process**

## 8. IDENTIFY CURRENT PROCESS CONTROLS

### "Customer Order" Process

Descriptions of controls that either:

- **PREVENT** the failure mode/cause from occurring
- **DETECT** the failure mode/cause should it occur

There are three types of process controls:

- **GOOD** - Detect the failure mode
- **BETTER** - Detect the cause/mechanism and lead to corrective actions
- **BEST** - Prevent the cause or failure mode/effect from occurring or reduce their rate of occurrence

Potential Causes of Failure	O C C	Current Process Controls
How the failure could occur.	Frequency of failure occurrence (O)	Descriptions of the controls that prevent or detect the failure mode.
Quality Issues	4	Weekly meeting of the Material Review Board to review all non-conformances
Missing Customer Supplied part	2	Review Supplier Critical Short Report
Order not entered for production	1	Daily Review of manual customer orders
components didn't arrive on time	4	Review Supplier Critical Short Report

## 9. IDENTIFY DETECTION

DET (D) is the extent to which a failure (or cause) can be identified prior to reaching the customer.

Detection Rating Scale		
Rating	Description	Definition
10	<b>Absolute Uncertainty</b>	The product is not inspected or the defect caused by failure is not detectable.
9	<b>Very Remote</b>	Product is sampled, inspected, and released based on Acceptable Quality Level (AQL) sampling plans.
8	<b>Remote</b>	Product is accepted based on no defectives in a sample.
7	<b>Very Low</b>	Product is 100% manually inspected in the process.
6	<b>Low</b>	Product is 100% manually inspected using go-no-go or other mistake-proofing gages.
5	<b>Moderate</b>	Some Statistical Process Control (SPC) is used in process and product is final inspected off-line.
4	<b>Moderately High</b>	SPC is used and there is immediate reaction to out-of-control conditions.
3	<b>High</b>	An effective SPC program is in place with process capabilities ( $C_{pk}$ ) greater than 1.33.
2	<b>Very High</b>	All product is 100% automatically inspected.
1	<b>Almost Certain</b>	The defect is obvious or there is 100% automatic inspection with regular calibration and preventive maintenance of the inspection equipment.

O C C	Current Process Controls	D E T
Frequency of failure occurrence (O)	Descriptions of the controls that prevent or detect the failure mode.	Extent to which failure (or cause) can be identified prior to reaching customer (D)
4	<i>Weekly meeting of the Material Review Board to review all non-conformances</i>	2
2	<i>Review Supplier Critical Short Report</i>	3
1	<i>Daily Review of manual customer orders</i>	1
4	<i>Review Supplier Critical Short Report</i>	3

**“Customer Order”  
Process**

# 10. CALCULATE RISK PRIORITY NUMBER (RPN)

## RPN

The product of (S) \* (O) \* (D) = RPN

Higher RPN's should have specific corrective actions assigned

- The actions will most likely require data collection and/or experimentation



Current Process Controls	D E T	R P N
Descriptions of the controls that prevent or detect the failure mode.	Extent to which failure (or cause) can be identified prior to reaching customer (D)	Product of (S)*(O)*(D)
<i>Weekly meeting of the Material Review Board to review all non-conformances</i>	2	32
<i>Review Supplier Critical Short Report</i>	3	48
<i>Daily Review of manual customer orders</i>	1	8
<i>Review Supplier Critical Short Report</i>	3	96

# 11. DETERMINE RECOMMENDED ACTIONS

Identify actions to mitigate potential failures

May reduce the frequency of occurrence

May increase the ability to PREVENT causes and/or failure modes

- Usually improving detection controls is costly and ineffective for quality improvements.
- Increasing inspection should only be utilized as a temporary measure for containment

Reassess RPN to see if actions eliminate major issues

**Focus on DEFECT PREVENTION!**

D E T	R P N	Actions Recommended
Extent to which failure (or cause) can be identified prior to reaching customer (D)	Product o (S)*(O)*D	Actions to be taken on the highest ranked concerns and critical items.
2	32	Collect data to determine how often quality issues affect shipments
3	48	Collect data to determine how often supplier is missing customer supplied part
1	8	Cross training customer service reps
3	96	Collect data to determine how often late sub-components affect shipments

**"Customer Order"  
Process**

## 12. DETERMINE RESPONSIBILITY, DATES & ACTIONS TAKEN

Process Step / Function	Requirements	Potential Failure Mode	Potential Effects of Failure	SEV	Potential Causes of Failure	OC	Current Process Controls	DET	RPN	Actions Recommended	Responsibility and Dates	Actions Taken
Description of process or operation being analyzed.	Requirements defined by the customer. How the item or function is intended to perform.	Manner in which the process could potentially fail to meet process requirements.	Effects of the failure mode on the customer.	Severity of the effect (S)	How the failure could occur.	Frequency of failure occurrence (O)	Descriptions of the controls that prevent or detect the failure mode.	Extent to which failure (or cause) can be identified prior to reaching customer (D)	Product of (S)*(O)*(D)	Actions to be taken on the highest ranked concerns and critical items	The individual(s) responsible for the actions and the target dates for completion.	Brief description of the action and effective date after the action has been implemented.
Customer Order	Products gets to customer on time and safely	Late Delivery	Critical Short to Customer Production	8	Quality Issues	4	Weekly meeting of the Material Review Board to review all non-conformances	2	32	Collect data to determine how often quality issues affect shipments		
				8	Missing Customer Supplied part	2	Review Supplier Critical Short Report	3	48	Collect data to determine how often supplier is missing customer supplied part		
				8	Order not entered for production	1	Daily Review of manual customer orders	1	8	Cross training customer service reps		
				8	Sub-components didn't arrive on time	4	Review Supplier Critical Short Report	3	96	Collect data to determine how often late sub-components affect shipments		

# 13. ASSESS ACTION TAKEN & RESULTING RPNS

**"Customer Order"  
Process**

R P N	Actions Recommended	Responsibility and Dates	Actions Taken	S E V	O C C	D E T	R P N
Product of (S)*(O)*(D)	Actions to be taken on the highest ranked concerns and critical items.	The individual(s) responsible for the actions and the target dates for completion.	Brief description of the action and effective date after the action has been implemented.				
32	Collect data to determine how often quality issues affect shipments	S. Smith (Sept 1)	Accounts for 40% of late arrivals; implement daily MRB process	8	2	1	16
48	Collect data to determine how often supplier is missing customer supplied part	S. Smith (Oct 15)	Accounts for 20% of late arrivals; implement daily review of critical shorts	8	2	1	16
8	Cross training customer service reps			8	1	1	8
96	Collect data to determine how often late sub-components affect shipments	S. Smith (Feb 15)	Accounts for 30% of late arrivals; implement daily review of critical shorts	8	4	1	32

Original RPN Values

Resulting RPN Values



# FMEA SUMMARY

- Used to improve process before failure occurs; focus on prevention of product and process issues
- Used to prioritize corrective actions & ensure alignment with customer needs
- Useful during design for new systems, products and processes
- Useful when existing designs or processes are being changed
- Updated after change is implemented or action items completed
- A tool to document actions taken & leads to future tool usage:
  - Data collection plans and experimentation
  - Control plans





YOUR MISSION. OUR HONOR

10 MINUTE BREAK





# CONTROL PLANS

CONTROL PLAN										Control Plan No:		
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input checked="" type="checkbox"/> Production		Key Contact/Phone				Date (Orig.)		Date (Rev.)				
Part Number/ Latest Change Level		Core Team				Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)				
Part Name/Description		Supplier/Plant Approval/Date				Customer Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)				
Supplier/Plant		Supplier Code		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)				
Part/ Process Number	Process Name / Operation Description	Machine, Device, Jig, Tools for Mfg.	Characteristics				Methods				Reaction Plan	
			No.	Product	Process	Special Char. Class.	Product / Process Specification / Tolerance	Evaluation Measurement Technique	Sample Size	Frequency		Control Method
300	Initiate weld sequence / Perform TIG weld of frame parts.	Robotic Arm controller, TIG welders.		Weld beads per design specification.			Tube welds meet pull test with failure in parent material.	Full test using test fixture 20-1.	1 pc.	Per shift.	Hydraulic pull test instruction T121-01 Process monitoring form PMF-20-01	Quarantine material since last good pull test.
				Good welds, no visible defects.	yes	Weld appearance meets visual standard.	Operator evaluation to Visual Std TEG0-VS1	100%	Each piece.	Visual inspection OWI #20-01.	Remove part and send to repair.	
				Weld voltage.	yes	24 Volts AC +/- 2.0 volts	Machine Control	100%	Each weld cycle.	Closed-loop machine control.	Scrap part Re-start welder.	
				Weld voltage.	yes	24 Volts AC +/- 2.0 volts	Visual	Once each	Shift start or change-over or maint. event.	Set-up OWI #20-02 & Form PMF-20-02 Periodic maintenance per PM WI #20.	Scrap current part Shut-down. Notify maintenance.	
				Inert gas flow rate.	yes	5 cubic feet / min. +/- 0.5 cfm	Visual	twice	Per shift.	Operator cleans gas cup twice per shift PM-WI-20. Process monitoring form PMF-20-01	Notify maintenance.	
				Inert gas flow rate.	yes	5 cubic feet / min. +/- 0.5 cfm	Visual of verification of Flow Meter	Once each	Shift start or change-over or maint. event.	Set-up OWI #20-02 & Form PMF-20-02. Equipment Calibration Procedure #368	Quarantine material since last good pull test. Notify maintenance.	

# CONTROL PLAN – THE BASICS

What is it?

- Monitoring, controlling and inspection needs
- Reaction plan to be followed for suspected non-conforming product

Why create it?

- Reduces variation due to both input & output variables

When to create it?

- AFTER PFD & PFMEA have been created
- BEFORE pilot/production builds are conducted

# CONTROL PLAN – THE BENEFITS

## Quality

- Reduces waste
- Improves the quality of products
- Control sources of variation (input variables) which cause variation in product characteristics (output variables).
- Quality improvement tool.

## Customer Satisfaction

- Focus resources on characteristics that are important to the customer.
- Proper allocation of resources to reduce costs without sacrificing quality.

## Communication

- A living document, that identifies and communicates changes in the product/process characteristics, control methods, and characteristic measurement methods

# CONTROL PLAN DEVELOPMENT

- Must be built from the PFMEA

## Supplier, Inputs, Process, Outputs, Customer (S I P O C)

- High-level Process Flow Diagram; Helps to scope/bound the process
- Ensures team members view the process in the same way

## Process Flow Diagram

- Shows process flow, Identifies process Inputs and Outputs “What does the process do?”

## Process Failure Mode Analysis (PFMEA)

- Uses Process Flow Diagram, “What could go wrong?”, “Could we prevent or detect?”

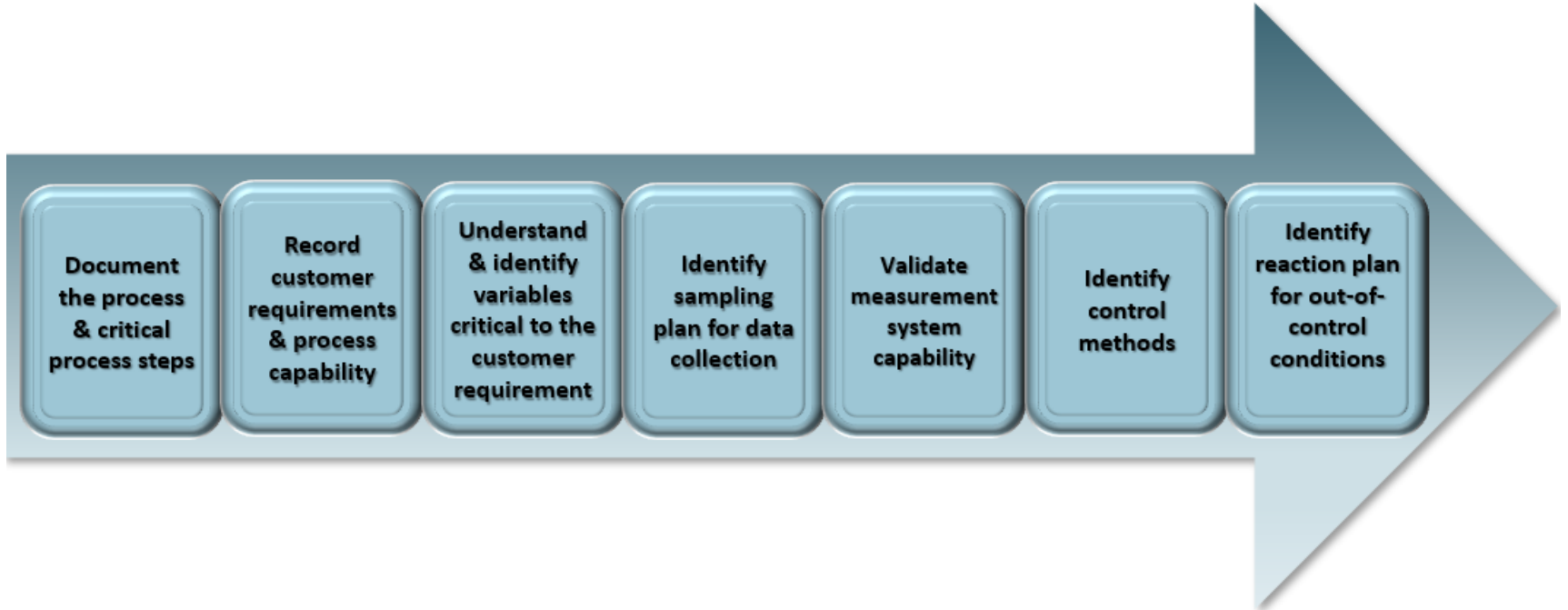
## Control Plan

- What needs to be controlled or monitored?
- How do we react to problems


## Manufacturing

- Work instructions, Process monitoring “What & How am I supposed to do it?”
- Inspection Plan “What am I supposed to record?”, “Where am I to record it?”

# CONTROL PLAN DEVELOPMENT



# STEP-BY-STEP CONTROL PLAN CREATION



## CONTROL PLAN

1
 Prototype   
  Pre-Launch   
  Production

Control Plan Number		Key Contact/Phone			Date (Orig.) 1/1/1996		Date (Rev.) 1/1/1996				
Part Number/Latest Change Level <b>PART NUMBER</b>		Core Team			Customer Engineering Approval/Date (If Req'd.)						
Part Name/Description <b>PART NAME</b>		Supplier/Plant Approval/Date			Customer Quality Approval/Date (If Req'd.)						
Supplier/Plant		Supplier Code <b>SUPPLIER NUMBER</b>		Other Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)					
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		
								SIZE	FREQ.		

**Control Plan Form  
Review**

- }

**Prototype**  
A description of the dimensional measurements, material and performance tests occurring during Prototype build


**Pre-Launch**  
A description of the dimensional measurements, material and performance tests that will occur after Prototype and before normal

**Production**  
A comprehensive documentation of product and process characteristics, process controls, tests, and measurement during normal production.



# STEP-BY-STEP CONTROL PLAN CREATION

**Control Plan Form  
Review**

PART/PROCESS NUMBER		PROCESS NAME/OPERATION DESCRIPTION	MACHINE, DEVICE, JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN
				NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/TOLERANCE	EVALUATION/MEASUREMENT TECHNIQUE	SAMPLE		
										SIZE	FREQ.	CONTROL METHOD
<div style="display: flex; justify-content: space-between; align-items: center;">  <h2>CONTROL PLAN</h2> </div>												
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production												
2 Control Plan Number Part Number/Latest Change Level <b>PART NUMBER</b>				Key Contact/Phone				Date (Orig.) 1/1/1996		Date (Rev.) 1/1/1996		
3 Part Name/Description <b>PART NAME</b>				Core Team				Customer Engineering Approval/Date (If Req'd.)				
Supplier/Plant				Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)				
Supplier Code <b>UPPLIER NUMBE</b>				Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)				

## 2 Control Plan Number


- Enter the control plan document number used for tracking, if applicable.
- For multiple control pages, enter page number (page      of     ).

## 3 Part Number & Latest Change Level

- Enter the number of the system, subsystem or component being controlled.
- When applicable, enter the latest engineering change level and/or issue date from the drawing specification.

# STEP-BY-STEP CONTROL PLAN CREATION

**Control Plan Form  
Review**

 <b>CONTROL PLAN</b>												
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production												
Control Plan Number				Key Contact/Phone				Date (Orig.)		Date (Rev.)		
4 Part Number/Latest Change Level PART NUMBER				Core Team				1/1/1996		1/1/1996		
Part Name/Description PART NAME				Supplier/Plant Approval/Date				Customer Engineering Approval/Date (If Req'd.)				
Supplier/Plant			Supplier Code		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)			
5			JPPLIER NUMB									
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS					REACTION PLAN
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		CONTROL	

**4 Part Description**

- Enter the name and description of the product/process being controlled


**5 Supplier/Plant**

- Enter the name of the company and the appropriate division, plant or department preparing the Quality Control Plan

**6 Supplier Code**

- Enter any specific supplier or work center identification number necessary for tracking purposes.

# STEP-BY-STEP CONTROL PLAN CREATION

 <b>CONTROL PLAN</b>													
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production													
Control Plan Number				Key Contact/Phone				Date (Orig.)		Date (Rev.)			
Part Number/Latest Change Level				Core Team				1/1/1996		1/1/1996			
Part Name/Description				Supplier/Plant Approval/Date				Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)			
Supplier/Plant				Supplier Code		Other Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)					
PART/PROCESS NUMBER				CHARACTERISTICS			METHODS			REACTION PLAN			
PROCESS NAME/OPERATION DESCRIPTION			MACHINE, DEVICE, JIG, TOOLS FOR MFG.		SPECIAL CHAR. CLASS		PRODUCT/PROCESS SPECIFICATION/TOLERANCE		EVALUATION/MEASUREMENT TECHNIQUE		SAMPLE SIZE		CONTROL METHOD
		NO.	PRODUCT	PROCESS						SIZE	FREQ.		

**Control Plan Form Review**

- 7 Key Contact & Phone Number**

  - Enter the name and telephone number of the primary contact responsible for the control plan
- 8 Core Team**

  - Enter the name(s) and telephone number(s) of the individual(s) responsible for preparing the Quality Control Plan to the latest revision
  - It is recommended that all of the team members' names, phone numbers, and locations be included on an attached distribution list
- 9 Supplier/Plant - Approval/Date**

  - If required obtain approval


# STEP-BY-STEP CONTROL PLAN CREATION

PART/ PROCESS NUMBER		PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE, JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS		REACTION PLAN			
				NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SIZE	FREQ.	CONTROL METHOD	
Control Plan Number			Key Contact/Phone			Date (Orig.)		Date (Rev.)					
Part Number/Latest Change Level			Core Team			Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)			
Part Name/Description			Supplier/Plant Approval/Date			Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)			
Supplier/Plant			Supplier Code			Other Approval/Date (If Req'd.)		Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)			
			JPLIER NUMBER			Customer Engineering Approval/Date (If Req'd.)		Customer Quality Approval/Date (If Req'd.)		Other Approval/Date (If Req'd.)			

**Control Plan Form Review**

- 10 **Origination Date**
  - Enter the date that the original QC Plan was created
- 11 **Revision Date**
  - Enter the date that the plan was last updated
- 12 **Engineering Approval Date**
  - If required obtain engineering authority approval
- 13 **Quality Approval Date**
  - If required obtain quality authority approval
- 14 **Other/Additional Approvals**
  - If required obtain additional authority approvals

# STEP-BY-STEP CONTROL PLAN CREATION

 <b>OSHKOSH™</b> <span style="float: right;"><b>CONTROL PLAN</b></span>												
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production												
Control Plan Number				Key Contact/Phone				Date (Orig.) 1/1/1996		Date (Rev.) 1/1/1996		
Part Number/Latest Change Level PART NUMBER				Core Team				Customer Engineering Approval/Date (If Req'd.)				
Part Name/Description PART NAME				Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)				
Supplier/Plant		Supplier Code SUPPLIER NUMBER		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)				
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN	
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE SIZE    FREQ.			CONTROL METHOD

**Control Plan Form  
Review**

**15 Part / Process Number**

- The item number, usually from the engineering specification. If multiple part numbers are used, they should all be listed

**16 Process Name / Operation Description**

- Where possible, all of the steps in the production of the system, subsystem, or component should be described. These steps can be identified from a process flow chart or traveler or router.

**17 Machine, Device, Tools For Manufacturing**

- For each operation that is described, identify the processing equipment, e.g., machine, device, jig, or other tools for manufacturing, as appropriate.

# STEP-BY-STEP CONTROL PLAN CREATION

PART/ PROCESS NUMBER		PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE, JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN	
				NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		CONTROL METHOD	
										SIZE	FREQ.		
				← 18									

**Control Plan Form Review**

## Characteristics

18

- The distinguishing feature, dimension or property of a process or product on which variable or attribute data can be collected.
- Use visual aids where applicable

## Number

- Enter a sequential tracking number (Simple Use)
- Enter a cross reference number from other applicable documents such as a Process Flow Diagram or FMEA etc. (Advanced Use)

# STEP-BY-STEP CONTROL PLAN CREATION

PART/PROCESS NUMBER		PROCESS NAME/OPERATION DESCRIPTION	MACHINE, DEVICE, JIG, TOOLS FOR MFG.	CHARACTERISTICS		SPECIAL CHAR. CLASS	METHODS				REACTION PLAN	
				NO.	PRODUCT	PROCESS	PRODUCT/PROCESS SPECIFICATION/TOLERANCE	EVALUATION/MEASUREMENT TECHNIQUE	SAMPLE		CONTROL METHOD	
									SIZE	FREQ.		

**Control Plan Form Review**

**19 Product**

- Features or properties of the part, component or assembly that are described on engineering drawings or other primary engineering information.
- The Quality Control Plan Team should identify the Special Product Characteristics which are a compilation of important Product Characteristics from all sources.

*All Special Characteristics must be accounted for in Control Plans - they must be listed. Other product characteristics and features for which process controls are required during normal operations should also be listed. Wherever possible use visual aids for appearance related features.*

# STEP-BY-STEP CONTROL PLAN CREATION

PART/PROCESS NUMBER		PROCESS NAME/OPERATION DESCRIPTION	MACHINE, DEVICE, JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN	
				NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/TOLERANCE	EVALUATION/MEASUREMENT TECHNIQUE	SIZE	FREQ.	CONTROL METHOD	
								20					


**Control Plan Form Review**

**20 Process Characteristics**

- The process variables (input variables) that have a cause and effect relationship with the identified Product Characteristic.
- Can only be measured at the time it occurs.
- The team should identify Process Characteristics for which variation must be controlled to minimize product variation.
- There could be one or more Process Characteristics listed for each product characteristic.
- In some processes one Process Characteristic may affect several Product Characteristics.



# STEP-BY-STEP CONTROL PLAN CREATION

 <b>CONTROL PLAN</b>													
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production													
Control Plan Number			Key Contact/Phone				Date (Orig.) 1/1/1996		Date (Rev.) 1/1/1996				
Part Number/Latest Change Level <b>PART NUMBER</b>			Core Team				Customer Engineering Approval/Date (If Req'd.)						
Part Name/Description <b>PART NAME</b>			Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)						
Supplier/Plant		Supplier Code <b>JPPLIER NUMBE</b>		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)					
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS					REACTION PLAN	
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS/ SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		CONTROL METHOD		

**Control Plan Form  
Review**

**21 Special Characteristic Classification**

- Where and when required apply any special characteristic designation.
- Example designations include "safety", "critical", "key", "major", etc.

# STEP-BY-STEP CONTROL PLAN CREATION


OSHKOSH™ CONTROL PLAN												
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production												
Control Plan Number				Key Contact/Phone				Date (Orig.) 1/1/1996		Date (Rev.) 1/1/1996		
Part Number/Latest Change Level PART NUMBER				Core Team				Customer Engineering Approval/Date (If Req'd.)				
Part Name/Description PART NAME				Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)				
Supplier/Plant		Supplier Code SUPPLIER NUMBER		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)				
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN	
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE SIZE    FREQ.			CONTROL METHOD
					22							

**Control Plan Form  
Review**

## METHODS

- 22 **Product / Process / Specification Tolerance**
  - Specifications and tolerances from various engineering specifications and documents.
- 23 **Evaluation / Measurement Technique**
  - The measurement system to be used.
  - This could include gages, fixtures, tools, and/or test equipment required to measure the part, process or manufacturing equipment.
- 24 **Sample Size & Frequency**
  - When sampling will be used, list the sample size and frequency of sampling.

# STEP-BY-STEP CONTROL PLAN CREATION

 <b>CONTROL PLAN</b>											
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production											
Control Plan Number				Key Contact/Phone				Date (Orig.)		Date (Rev.)	
								1/1/1996		1/1/1996	
Part Number/Latest Change Level				Core Team				Customer Engineering Approval/Date (If Req'd.)			
PART NUMBER											
Part Name/Description				Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)			
PART NAME											
Supplier/Plant		Supplier Code		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)			
		SUPPLIER NUMBER									
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS				REACTION PLAN
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		
								SIZE	FREQ.		

**Control Plan Form  
Review**


## 25 Control Method

- Brief description of how the operation will be controlled
- Include procedure numbers where applicable
- The control method is determined by the type of process that exists
- The control method utilized should be based on knowledge and analysis of the process

### Example control methods include:

- Inspection, Variable or Attribute Data
- Mistake-Proofing (automated/non-automated)
- Statistical Process Control
- Sampling Plans

# STEP-BY-STEP CONTROL PLAN CREATION

 <b>CONTROL PLAN</b>												
<input type="checkbox"/> Prototype <input type="checkbox"/> Pre-Launch <input type="checkbox"/> Production												
Control Plan Number				Key Contact/Phone				Date (Orig.)		Date (Rev.)		
								1/1/1996		1/1/1996		
Part Number/Latest Change Level				Core Team				Customer Engineering Approval/Date (If Req'd.)				
PART NUMBER												
Part Name/Description				Supplier/Plant Approval/Date				Customer Quality Approval/Date (If Req'd.)				
PART NAME												
Supplier/Plant		Supplier Code		Other Approval/Date (If Req'd.)				Other Approval/Date (If Req'd.)				
		SUPPLIER NUMBER										
PART/ PROCESS NUMBER	PROCESS NAME/ OPERATION DESCRIPTION	MACHINE, DEVICE JIG, TOOLS FOR MFG.	CHARACTERISTICS			SPECIAL CHAR. CLASS	METHODS					REACTION PLAN
			NO.	PRODUCT	PROCESS		PRODUCT/PROCESS SPECIFICATION/ TOLERANCE	EVALUATION/ MEASUREMENT TECHNIQUE	SAMPLE		CONTROL METHOD	
									SIZE	FREQ.		

**Control Plan Form  
Review**

## 26 REACTION PLAN

- Specifies the corrective actions necessary to avoid producing nonconforming products or operating out of control
- May refer to a specific reaction plan number
- May identify the person responsible for the reaction plan
- The actions should normally be the responsibility of the people closest to the process, the operator or supervisor, and be clearly designated in the plan
- In all cases, suspect and nonconforming products must be clearly identified and quarantined, and disposition made by the responsible person designated.

## APQP RESOURCES

[Oshkosh Supplier Network | Oshkosh Corporation](#)

# Additional supplier training

**CLICK THE LINKS BELOW FOR STEP-BY-STEP GUIDES:**

[Defense Counterfeit Parts Awareness and Avoidance](#)

[Enroll In Advanced Product Quality Planning \(APQP\)](#)



## YOUR MISSION. OUR HONOR

*"Distinguished Men and Women of Oshkosh:*

*My son, (2/5 Marines currently deployed to Afghanistan) and all of the Marines in their vehicle recently survived an IED explosion directly underneath their MRAP. He asked me to send along his thanks for the protection afforded by your quality vehicles. As a father, I am so grateful for what you are doing and wish to thank you from the bottom of my heart. God bless you and God bless America."*

*- Marine Father*

